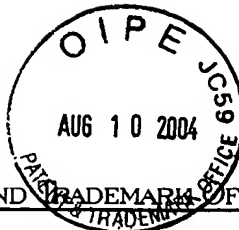


IFW ✓



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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,549	04/21/2004	Zheng-Hong Lu	14683

CONFIRMATION NO. 5455

## FORMALITIES LETTER



\*OC000000013108853\*

000293  
 DOWELL & DOWELL PC  
 SUITE 309  
 1215 JEFFERSON DAVIS HIGHWAY  
 ARLINGTON, VA 22202

Date Mailed: 06/30/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$824 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1724 for a Large Entity

08/11/2004 STEUMEL1 00000026 10828549

01 FC:1001  
 02 FC:1051

770.00 OP  
 130.00 OP

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$824**

- \$86 for 1 independent claims over 3.
- \$738 for 41 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Y. Gr  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al.  
Appl. No. : 10/828,549  
Filed : April 21, 2004  
Title : LIGHT-EMITTING DEVICES WITH AN EMBEDDED CHARGE  
INJECTION ELECTRODE

Grp./A.U. :  
Examiner :

Docket No.: 14683

Honorable Commissioner of Patents  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION-FILING DATE GRANTED

Submitted herewith is a copy of FORM PTO-1533 together with a Declaration and Power of Attorney signed by the inventors. A check in the amount of \$ 1724.00 is also enclosed, which includes the government filing fee of \$ 770.00, 130.00 for the late filing of the signed declaration, \$ 86.00 for independent claims in excess of three and \$ 738.00 for claims in excess of twenty. Any deficiencies in the fees may be charged to Deposit Account No. 04-1577.

It is now believed that the application should be ready for normal processing. In the event any additional information is required by the Application Branch it would be appreciated if they would contact the undersigned attorney of record at the telephone number shown below.

08/11/2004 STEUMEL1 00000026 10828549

03 FC:1202  
04 FC:1201

738.00 OP  
86.00 OP

Respectfully submitted,

DOWELL & DOWELL, P. C.

Ralph A. Dowell, Reg. No.: 26,868  
Date: August 10, 2004

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